INVESTIGATIONS, SECURITY, &
COUNTERMEASURES
www.kimmonsinv.com
Texas State License # C04124
713-532-5881

Corporate Due Diligence Real Life Case Studies and Report Example

These are collected from many public databases, many subscriptions and proprietary. The value is in Kimmons Investigations' subscriptions to various databases that allow efficient and accurate searches and reporting.

1. YOUR BUSINESS IS AT RISK!

Kimmons Investigative Services, Inc. has conducted corporate and due diligence investigations around the country for companies small and large. In many cases, management is surprised that something serious was wrong, hiring us only after they see discrepancies in documents or financials that they can't explain.

These short case study examples are to help you to see that problems aren't limited to certain types of business. From manufacturing through retailing and to consulting and professional services, every company has some exposure to risk. This is simply because people do things we don't expect, and often long-time trusted employees experience a life or financial event that leads them to deception, fraud or theft in their jobs.

As for due diligence, thorough background checks of proposed hires is very important, especially for management and financial positions. Weeding out potential problems before they're part of the company is a far better policy than solving problems later. There are also many instances where due diligence background investigations of new clients or proposed merger candidates have helped companies to avoid serious future problems. Here are a few overviews of real-life case studies.

2. INTERNAL THEFT AT A BARGE COMPANY

This client called us with documented theft, but they needed trained investigators to determine the extent of the problem and how the theft was being conducted. They believed it was in the vendor payment system, and their suspicion was that around $300,000 was their exposure to date.

After a 45-day investigation, Kimmons Investigators documented more than $550,000 in theft through the vendor invoice payment system. The facility manager, in concert with several large vendors, was crating large invoices for work on barges. However, the work was never being performed. When the false work invoices were paid, the vendor would kick back a portion to the facility manager.

The investigation involved Subject interviews, document reviews and surveillance. Full confessions were obtained from all parties involved with the proof presented from the investigation. At the request of the Client, Kimmons investigators delivered the documentation to a Federal Law Enforcement Agency, and they instituted their own investigation.

Kimmons Investigative Services, Inc. maintains strong ties with law enforcement, and the quality of our investigative reporting leads to appreciation and attention from these agencies when requested.

3. DUE DILIGENCE INVESTIGATION STOPS AN ACQUISITION

Kimmons Investigative Services, Inc. is often called upon to conduct background checks and investigations of management personnel for acquisitions and mergers. In this case, the acquiring company asked us to investigate key personnel and the potential acquisition company's activities. This is outside the normal financial due diligence conducted by accountants.

It was a wise decision, as the results of the due diligence investigations were revealing. There was ongoing litigation involving the Subject Company that had not been disclosed to the Client. Key employees had also not disclosed potential conflicts of interest that could have created major future problems for our Client.

Even more of a hidden issue was the fact that the CFO of the company to be acquired had a felony criminal conviction, another item not disclosed in the acquisition discussions or documents. With this many issues and a pattern of non-disclosure, the Client decided not to move forward with the acquisition.

4. PERSONAL INJURY LITIGATION INVESTIGATION

Just being in business in our litigious country puts every company, no matter what size, at risk of lawsuits by employees, customers and others. We often work for insurance carriers and their attorneys on personal litigation cases. In a very common situation, Kimmons Investigations was retained by attorneys for an insurance carrier to surveil an alleged injured party. The individual claimed to be totally disabled from an "on the job" injury.

This person claimed that he was not able to walk without assistance. He also said that he was unable to operate a motor vehicle and claimed that he was experiencing difficulty just getting from inside his home to his front porch without assistance.

Through the surveillance efforts of Kimmons investigators, video footage was obtained of the Subject driving his vehicle on several occasions. He was also recorded on video placing bags of groceries in the vehicle without assistance. In his activities he showed no visible signs of physical injury while under surveillance. The most telling event however was when he repeatedly climbed a ladder while re-roofing his home. He carried roof shingles on his back without assistance.

Due to the documented activities by surveillance investigators, the Subject's claim was greatly reduced and settled for nuisance value.

5. LAW FIRM EMBEZZLEMENT INVESTIGATION

Kimmons Investigative Services, Inc. was hired by a prestigious Houston, TX law firm with discovered discrepancies in their operating balances on financials. They were unsure of the extent of the losses, nor did they know the source of the problem.

After an extensive investigation, Kimmons investigators determined and documented that the legal office manager of the firm was engaged in aggressive embezzlement activities. She was using the law firm's credit cards to order expensive shoes, purses, gift certificates and other items. She would have them shipped to her home then resell the items on eBay. This was a long-term scheme.

As a long term and trusted employee of the firm, she was able to embezzle more than $200,000 from the firm through her scheme. The employee was confronted and confessed. She was eventually sentenced to prison time, and some of the items were retrieved.

6. IF YOU'RE IN BUSINESS, YOU'RE AT RISK

Call us now for a free consultation of your possible exposure or if you have suspicions of illegal activity.

KIMMONS INVESTIGATIVE SERVICES, INC.

Texas Oldest, Largest & Most Experienced Investigative Firm
5177 Richmond Ave. Suite 1190
Houston, TX 77056
United States
Phone: (713) 532-5881
Fax: (713) 266-4002
E-Mail: rob@kimmonsinv.com
Map and Driving Directions

RE: REDACTED DUE DILIGENCE REPORT
Dear _________,
This Agency has completed the due diligence investigation on SUBJECT. An Executive Summary is detailed below, which outlines those investigative and research efforts. KIS has also attached pertinent data and document copies related to this investigation. Please do not hesitate to contact me if we can be of any further assistance in this matter. Thank you for the opportunity to assist with your investigative needs.

EXECUTIVE SUMMARY

SUBJECT: JEFFREY MARC HERMAN
ALIASES: JEFF HERMAN
Date of Birth: XX/XX/XXXX
Social Security #: XXX-XX-XXXX *(verified)*

Address Summary:

5757 NW 40th Way, Boca Raton, FL 33496 (Palm Beach County)
(8/26/2018 to Present)
1800 N Military Trail STE 160, Boca Raton, FL 33431 (Palm Beach County)
(8/23/2019 to Present)
5200 Town Center Cir #540, Boca Raton, FL 33486 (Palm Beach County) *(10/17 to 6/2019)*
3748 NW 52nd St, Boca Raton, FL 33496 (Palm Beach County) *(11/7/2016 to 2/19/2019)*
19501 Ambassador Ct, Miami, FL 33179 (Miami-Dade County) *(12/1999 to 4/2018)*
18205 Biscayne Blvd # 2218, Aventura, FL 33160 (Miami-Dade County) *(9/04 to 11/2017)*
18205 Biscayne Blvd, Aventura, FL 33160 (Miami-Dade County) *(8/2007 to 3/2009)*
17730 Wagon Wheel Dr, Boca Raton, FL 33496 (Palm Beach County) *(3/2008 to 10/2017)*
3351 NW Boca Raton Blvd, Boca Raton, FL 33431 (Palm Beach County) *(3/13 to 4/2017)*
3351 NW 2nd Ave, Boca Raton, FL 33431 (Palm Beach County) *(1/2000 to 4/2017)*

Possible Phone Numbers:

(XXX) XXX-XXXX
(XXX) XXX-XXXX
(XXX) XXX-XXXX

National Criminal Records:

Palm Beach County, FL *Traffic Only*
Offense: TCATS Toll - Failed to Pay
File Date: 10/15/2012
Case #: 502013TR098661AXXXSB
Disposition: Tolls Adjudication Withheld/Clerk
Disposition Date: 07/10/2013
Offense: TCATS Unlawful Speed/County Roads
File Date: 06/19/2014
Case #: 502014TR118239AXXXSB
Disposition: Adjudication Withheld

Disposition Date: 07/28/2014
Offense: TCATS Violation Municipal speed/Posted
File Date: 08/13/2014
Case #: 502014TR151133AXXXSB
Disposition: Adjudication Withheld
Disposition Date: 12/03/2014

Driving Record: ***See Attached Documentation***

National Sex Offender Search: ***No Records Found***

National Warrants Search: ***No Records Found***

Global Watch List: ***No Records Found***

**Note: A comprehensive coverage list is attached on page 37.*

Marriage/Divorce Records:

Marriage Date: 06/26/1999
County: Broward, FL
Application #: ML-CE-1999-004723
Groom: Jeffrey Marc Herman *(Age:*
Bride: Nancy Leigh Engelberg *(A:*
Divorce Date: 04/23/2008
Number Children: 2
Marriage Date: 08/01/1993
County: Miami-Dade, FL
License #: 1993-011228
Groom: Jeffrey Marc Herman *(Age:*
Bride: Amanda Brooke Weiner *(Ag*
Divorce Date: 03/15/1999
Number Children: 2

Civil Searches:

- Palm Beach County, FL
File Date: 08/17/2016
Case #: 50-2016-CA-00929

Case Type: **NON-HR FORECLOSURE = > $250K**

Style: First American Bank
Plaintiff: First American Bank
Counter Plaintiff: Laurence S. Schneider
Defendant: Jeffrey Marc Herman, Laurence S. Schneider, Stephanie L. Schneider, The Oaks Boca Raton Owners' Association, Inc.
Counter Defendant: First American Bank

Disposition: CASE PENDING
NOTE: First American Bank voluntarily dismissed all claims against defendant, Jeffrey Marc Herman, with prejudice on 04/26/2017.
File Date: 01/24/2005
Case #: 50-2005-SC-000

Case Type: **SMALL CLAIMS >$500 TO $2500**

Style: MOCK, TORNES V SUMMI
Plaintiff: Tornes Mock
Defendant: Summit Capit
Jeffrey Marc Herman (3rd Party Defendant)
Disposition: 12/14/2005 DISMISSED
File Date: 06/21/2018
Case #: 50-2018-CA-007863-XXXX-MB

Case Type: **Contract & Debt**

Style: UBM16 LLC V HERMAN, JEFFREY
Plaintiff: UBM16 LLC
Defendant: Jeffrey Herman
Disposition: 12/03/2018 DISMISSED without prejudice
NOTE: Lawsuit was over payment of the note on a 2001 63' Hatteras Raised Pilot House Yacht
File Date: 07/25/2017
Case #: 50-2017-CA-0082
Case Type: **Contract & Debt**
Style: AMERICAN CORATION V HERMAN, JEFFREY
Plaintiff: American Express Centurion Bank Corporation
Defendant: Jeffrey Herman
Disposition: 08/10/2017 DISMISSED with prejudice
NOTE: $66,019.82 AMEX bill
File Date: 07/25/2017
Case #: 50-2017-CA-008230-X

Case Type: **Contract & Debt**

Style: AMERICAN EXPRESS BANK V HERMAN LAW FIRM
Plaintiff: American Express Bank
Defendant: Jeffrey M. Herman, Herman Law Firm, PA
Disposition: 08/10/2017 DISMISSED with prejudice
NOTE: $82,050.93 AMEX bill
File Date: 08/31/1992
Case #: 50-1992-CP-003006-FO
Case Type: **Probate/Guardianship**
Style: HICKMAN, ELEANOR M

Decedent: Eleanor M. Hickman
Personal Rep.: Jeffrey M. Herman
Disposition: 08/05/1998 DISMISSED
- Miami-Dade County, FL
File Date: 01/23/2007
Case #: 2007-001850-FC-04

Case Type: **Dissolution of Marriage w/Children**

Style: HERMAN, JEFFREY VS HERMAN, N
Petitioner: Jeffrey Herma
Respondent: Nancy Herma
Minor Child: Maccabee Ethan Herman, Paisley Jane Herman
Disposition: 04/22/2008 Final Judgment
NOTE: Copies of the entire file have been requested from the courthouse.
File Date: 07/12/2007
Case #: 2007-011763-SP-05

Case Type: **Contract and Indebtedness (Up to $5,000)**

Style: HELLER & CHAMES (P A) VS HERMAN, JEFFR
Plaintiff: Heller & Chames PA
Defendant: Jeffrey Herman
Disposition: 04/29/2008 DISMISSED (Plaintiff failed to appear at Pretrial hearing)
NOTE: NAME MATCH ONLY
File Date: 10/12/2006
Case #: 2006-021085-CA-01

Case Type: **Other Civil Complaint**

Style: GUICHARD, ALVARO A (REVEREND) VS CRUZ, FRANCISCO FELIPE (MR)
Plaintiff: Guichard, Alvaro A (Reverend)
Defendant: Francisco Felipe Cruz, Jefferey M. Herman,
Disposition: 04/23/2012 Final Judgment
File Date: 09/07/2002
Case #: 2002-006286-CA-01

Case Type: **Other Civil Complaint**

Style: LELANDS COLLECTIBL VS HERMAN, JEFFREY
Plaintiff: Lelands Collectibles, Inc
Defendant: Jeffrey Herman
Disposition: 08/199/2004 DISMISSED Voluntarily with Prejudice
File Date: 7/19/2000

Case #: 2000-017486-CA-01

Case Type: **Contract & Indebtedness**

Style: HERMAN, JEFFREY M VS LIPSIG, DANIEL
Plaintiff: Jeffrey M. Heran, Richard Hrebik (assignee)
Defendant: Daniel Lipsig, Herman Roof Borgognoni & Moore
Disposition: 07/18/2002 DISMISSED (FWOP)
File Date: 11/25/1997
Case #: 1997-017035-CC-05

Case Type: **Contract and Indebtedness ($5,001 - $15,000)**

Style: CERTIFIED SHORTHAND RE VS HERMAN, JEFFREY
Plaintiff: Certified Shorthand R
Defendants: Herman Roof Borgog
Disposition: 05/19/1999 DISMISSED (FWOP)
- Broward County, FL
File Date: 12/04/1998
Case #: FMCE98018269

Case Type: **Dissolution of Marriage**

Style: Jeffrey Herman Petitioner vs. Amanda Herman Respondent
Petitioner: Jeffrey Herman
Respondent: Amanda Herman
Disposition: 03/15/1999 Final Judgment Dissolution of Marriage
NOTE: Copies have been requested from the courthouse of the entire file.
File Date: 07/26/2000
Case #: CACE00012376

Case Type: **Contract and Indebtedness**

Style: Aero Controls Inc, et al Plaintiff vs. Herman & Grubman
Pa, et al Defendant
Plaintiff: Aero Controls Inc, Triple J LSG Ltd
Defendant: Jeffrey M. Herman, Jeffrey Grubman, Herman & Grubman
PA, Herman Grubman & Moore PA
Disposition: 04/15/2004 Stipulation & Order of Dismissal with
Prejudice
10/18/2005 Notice of Voluntary Dismissal
File Date: 07/26/1990
Case #: CACE90022197

Case Type: **Contract and Indebtedness**

Style: Citizens & Sou Nat Bank of Fl Plaintiff vs. Jeffrey M Herman Defendant
Plaintiff: Citizens & Sou Nat Bank of Fl
Defendant: Jeffrey M. Herman
Disposition: 11/07/1991 Summary Judgment Final
NOTE: NAME MATCH ONLY
- Cuyahoga County, OH
File Date: 02/26/2002
Case #: CV-02-463647

Case Type: **Tort – Miscellaneous**

Style: FAILSAFE MEDIA CO. vs. JEFFREY HERMAN
Plaintiff: Failsafe Media Co.
Defendant: Jeffrey Herman
Disposition: 03/19/2002 DISMISSED without prejudice
NOTE: NAME MATCH ONLY
- Volusia County, FL *No Records Found*
- Trumbull County, OH *No Records Found*
- New York County, NY *No Records Found*

Bankruptcies: *(including Federal)* ***No Records Found***

Liens & Judgments:

Damar Document Type: Federal Tax Lien

Last Refile or Extension: 12/13/2027
Recording Date: 12/28/2018
Tax Lien Date: 12/17/2018
Filing County: Palm Beach, FL
Total Lien Amount: $405,400
Deed Category Type: Placement
Recording Book Number: 30331
Recording Page Number: 1826
Federal Tax Lien Area: Small Business
Serial Lien Certificate #: 339142018
Kind of Tax: 1040
Tax Period Minimum: 12/31/2016
Tax Period Maximum: 12/31/2017
Prepared and Signed: Baltimore, MD

Damar Document Type: Federal Tax Lien

Last Refile or Extension: 12/07/2026
Recording Date: 03/07/2017
Tax Lien Date: 02/27/2017
Filing County: Palm Beach, FL
Total Lien Amount: $246,570
Deed Category Type: Placement
Recording Book Number: 28933
Recording Page Number: 1583
Federal Tax Lien Area: Small Business
Serial Lien Certificate #: 250741217
Kind of Tax: 1040
Tax Period Minimum: 12/31/2015
Tax Period Maximum: 12/31/2015
Prepared and Signed: Baltimore, MD

Damar Document Type: Federal Tax Lien

Last Refile or Extension: 12/23/2025
Recording Date: 01/04/2016
Tax Lien Date: 12/16/2015
Filing County: Palm Beach, FL
Total Lien Amount: $478,924
Deed Category Type: Placement
Recording Book Number: 28023
Recording Page Number: 788
Federal Tax Lien Area: Small Business
Serial Lien Certificate #: 190791315
Kind of Tax: 1040
Tax Period Minimum: 12/31/2014
Tax Period Maximum: 12/31/2014
Prepared and Signed: Baltimore, MD

Damar Document Type: Federal Tax Lien

Last Refile or Extension: 11/19/2024
Recording Date: 11/06/2015
Tax Lien Date: 10/22/2015
Filing County: Palm Beach, FL
Total Lien Amount: $292,135
Deed Category Type: Placement
Recording Book Number: 27916
Recording Page Number: 1058
Federal Tax Lien Area: Small Business
Serial Lien Certificate #: 182660515

Kind of Tax: 1040
Tax Period Minimum: 12/31/2013
Tax Period Maximum: 12/31/2013
Prepared and Signed: Baltimore, MD

Damar Document Type: Federal Tax Lien

Last Refile or Extension: 12/25/2023
Recording Date: 04/09/2014
Tax Lien Date: 03/31/2014
Filing County: Palm Beach, FL
Total Lien Amount: $911,972
Deed Category Type: Placement
Recording Book Number: 26716
Recording Page Number: 98
Federal Tax Lien Area: Small Business
Serial Lien Certificate #: 992145814
Kind of Tax: 1040
Tax Period Minimum: 12/31/2012
Tax Period Maximum: 12/31/2012
Prepared and Signed: Baltimore, MD

U. S. Federal Criminal Records: ***No Records Found***

U. S. Federal Civil Records:

**Note: See attached documentation. The Subject is listed as the defendant in the cases listed below. More information available upon request.*
Case Title: VMX, Inc., et al v. Herman, Jeffrey, et, et al
Defendant: Herman, Jeffrey M.
Case Number: 1:1994cv00120
Court: Florida Southern District Court
Date Filed: 01/20/1994
Date Closed: 08/29/1994
Case Title: Ancier v. Egan, et al.
Defendant: Herman, Jeffrey M.
Case Number 1:2014cv00294
Court: Hawaii District Court
Date Filed: 06/27/2014
Date Closed: 01/20/2017
Case Title: Ancier v. Egan et al
Defendant: Herman, Jeffrey M.
Case Number: 8:2015cv00210
Court: Nebraska District Court
Date Filed: 06/09/2015
Date Closed: 03/21/2016

Case Title: Neuman v. Herman
Defendant: Herman, Jeffrey Marc
Case Number: 1:2015cv00105
Court: Hawaii District Court
Date Filed: 03/30/2015
Date Closed: 03/08/2017

Professional Licenses:

- Florida State Bar

Member in Good Standing
Eligible to Practice Law in Florida
Bar Number: 521647
Mailing Address:
Office:
Fax:
Physical Address:
Email:
Personal Bar URL:
County: Palm Beach
Circuit: 15
Admitted: 12/26/1985
10-Year Discipline History: None
Firm:
Firm Size: 2-5

- New York State Bar

Member in Good Standing
Eligible to Practice Law in New York
Registration Number: 5233382
Address:
Office:
E-Mail Address:
Date Admitted in NY: 9/29/2014
Division: Appellate Division
Department of Admission: 1
Law School: Case Western Reserve University
Registration Status: Currently Registered
Next Registration: Dec 2020
Disciplinary History: No Record of Public Discipline

US Corporate & Business Affiliations:

Business Name: 2044 Corporation *(Primary)*

Address: 2044 Mears Pkwy,
Incorporation State: FL
Filing Number: M81748
FEIN Number: 65-0143804
Filing Office Link Number: 1808321890
Corporation Type: Profit
Address Type: Mailing
Registration Type: Domestic Corporation
Verification Date: 08/02/2016
Incorporation Date: 05/19/1988
Date First Seen: 09/25/1997
Date Last Seen: 12/16/2016
Received Date: 11/25/2016
Sec State Report File Date: 04/26/1994
Filing Office Name: State Department/Corporation Division
Filing Office Address: 409 E Gaines St, Tallahassee, FL 32301
File Date: 12/17/2016
Sec Status: Inactive
Corporate Officers and Directors
Jeffrey M Herman Title: Vice President, Registered Agent
Address:
Lawrence Herman Title: Other, President
Address:
Roger Herman Title: Vice President
Address:
Sandra Herman Title: Other, Secretary
Address:

Business Name: ABS&C Corporation *(Primary)*

Address: 12681 SW 78th St, Miami, FL 33183
Incorporation State: FL
Filing Number: P96000094989
Filing Office Link Number: 1808321890
Corporation Type: Profit
Address Type: Mailing
Registration Type: Domestic Corporation
Verification Date: 02/01/2015
Incorporation Date: 11/15/1996
Date First Seen: 10/17/1997
Date Last Seen: 03/28/2015
Received Date: 02/02/2015
Filing Office Name: State Department/Corporation Division
Filing Office Address: 409 E Gaines St, Tallahassee, FL 32301
File Date: 03/31/2015
Sec Status: Inactive

Corporate Officers and Directors
Maria A Abaunza Title: Other, Dst
Address:
Ramiro J Abaunza Title: Other, Dp, Registered Agent
Address:
Jeffrey M Herman Title: Other, Director
Address:
Ileana Sengelmann Title: Other, Dv
Address:

Business Name: American Acquisition Co *(Primary)*

Address: 3050 Biscayne Blvd Ste 30
Incorporation State: FL
Filing Number: M62079
Fein Number: 65-0011626
Filing Office Link Number: 1808321890
Corporation Type: Profit
Address Type: Mailing
Registration Type: Domestic Corporation
Verification Date: 02/01/2015
Incorporation Date: 11/05/1987
Date First Seen: 09/24/1997
Date Last Seen: 03/21/2015
Received Date: 02/02/2015
Sec State Report File Date: 10/13/1989
Filing Office Name: State Department/Corporation Division
Filing Office Address: 409 E Gaines St, Tallahassee, FL 32301
File Date: 03/24/2015
Sec Status: Inactive
Corporate Officers and Directors
Jeffrey M Herman Title: Other, Director
Address:
Jeffrey M Herman Title: Registered Agent
Address:
Lawrence B Herm Title: Other, Director
Address:

Business Name: Center for the Protection o*(Primary)*

Address: 100 SE 2nd St Ste 2600, M
Incorporation State: FL
Filing Number: N99000005676
Filing Office Link Number: 1808321890
Corporation Type: Non-Profit
Address Type: Mailing

Registration Type: Domestic Corporation
Verification Date: 02/01/2015
Incorporation Date: 09/13/1999
Date First Seen: 03/09/2000
Date Last Seen: 03/21/2015
Received Date: 02/02/2015
Filing Office Name: State Department/Corporation Division
Filing Office Address: 409 E Gaines St, Tallahassee, FL 32301
File Date: 03/24/2015
Sec Status: Inactive
Corporate Officers and Directors
Jeffrey M Herman Title: Other, President, Registered Agent
Address:

UCC Filings:

Filing Type: Original
Filing Number: 990000073683
Filing Date: 04/05/1999
Filing Office Name: Secretary of State/UCC Division
Filing Office Address: State Capitol, Tallahassee, FL 32314
Debtor Business Name: Jeffrey M Herman PA
Address: 100 SE 2nd St Ste 2600, Miami, FL 33131
Secured Party Name: NationsBank NA
Address: Po Box 40329, Jacksonville, FL 32203
Collateral Items: Equipment and Proceeds, Inventory and Proceeds,
General Intangible(s) and Proceeds, Chattel Paper
and Proceeds, Account(s) and Proceeds
Filing Type: Original
Filing Number: 200706561331
Filing Date: 09/18/2007
Expiration Date: 09/18/2012
Filing Office Name: Secretary of State/UCC Division
Filing Office Address: State Capitol, Tallahassee, FL 32314
Debtor Business Name: Herman, Jeffrey M.
Address: 19550 Ambassador Ct, Miami, FL 33179
Secured Party Name: Key Bank, N.A.
Fein: 34-1804148
Address: 4910 Tiedeman Rd, Cleveland, OH 44144
Collateral Item: Type: Equipment
Filing Type: Termination
Filing Number: 200900854284
Filing Date: 07/13/2009
Filing Office Name: Secretary of State/UCC Division
Filing Office Address: State Capitol, Tallahassee, FL 32314
Debtor Business Name: Herman, Jeffrey M.

Address: 19550 Ambassador Ct, Miami, FL 33179
Secured Party Name: Key Bank, N.A.
Address: 4910 Tiedeman Rd, Cleveland, OH 44144
Filing Type: Original
Filing Number: 201908898923
Filing Date: 06/17/2019
Filing Office Name: Secretary of State/UCC Division
Filing Office Address: State Capitol, Tallahassee, FL 32314
Debtor Business Name: Herman Law Firm, PA
Address: 5200 Town Center Cir #540, Boca Raton, FL 33486
Secured Party Name: Corporation Service Company, as Representative
Address: P.O. Box 2576, Springfield IL 62708

Current Vehicle Information:

**Past vehicle ownership information available upon request.*
Vehicle Make/Model: 2016 Land Rover Se Range Rover Evoque
Vin: SALVP2BG8GH090235
Most Current Tag #: (FL) JVJP55 *(Valid From:12/20/2018 to 12/8/2020)*
Title Holder: Jeffrey M Herman
Title Number: 0131440160
State Titled In: FL
Original Title Date: 09/12/2018
Registrant: Jeffrey M Herman
Registered: 12/20/2018 to 12/8/2020
Lien Holder: Santander Consumer USA
Lessors: None Found
Vehicle Make/Model: 2016 Mercedes-Benz 63 AMG G
Vin: WDCYC7DF6GX244989
Most Current Tag #: (FL) KXPB20 *(Valid From: 2/9/2019)*
Title Holder: Jeffrey M Herman
Title Number: 0122809605
State Titled In: FL
Original Title Date: 02/09/2019
Registrant: Jeffrey M Herman
Registered: 1/19/2019 to 12/8/2020
Lien Holders: None Found
Lessors: None Found

Current Property Ownership:

**Note: Previously owned property available upon request.*
Property Address: 5757 NW 40th Way, Boca Raton FL 3
Parcel Control Number: 06-42-47-04-03-000-0720
Subdivision: Cambridge Park
Sale Date: September 2018

Legal Description: Cambridge Park Lt 72
Owner: Herman Jeffrey M
Mailing Address: 5757 NW 40th Way, Boca
Price: $285,000
Book/Page: 30126 / 00207
Sale Type: Warranty
Owner: Herman Jeffrey M
Number of Units: 1
Total Square Feet: 3507
Acres: 0.09
Use Code: 0110 – Townhouse
Tax Year 2019
Improvement Value: $229,485
Land Value: $0
Assessed Value: $229,485
Exemption Amount: $50,000
Taxable Value: $179,485
Total Tax: $3,756

Property Foreclosures: ***No Records Found***

Aircraft Records: ***No Records Found***

Current Watercraft Records:

**Note: Previously owned watercraft information available upon request.*
Description: 1996 32.00' Contender Boats Inc
(Fiberglass) Pleasure Vessel
Hull ID: JDJ31068B696
Owner/Registrant: Jeffrey M Herman
Registration Information
Number: FL8758LN
Status: ACTIVE
Issue Date: 6/13/2019
Expiration Date: 12/08/2019
Title Information
Type: Watercraft
Status: Transfer
Number: 0083167181
Issue Date: 7/18/2019

Social Media/Articles/Periodicals Found:

Facebook Profile:
This portion of the investigation is completed unless otherwise advised by the

Client. If you have any questions or need any additional information, please contact me at 713-532-5881 or via email at Lindsey@Kimmonsinv.com
Sincerely,

KIMMONS INVESTIGATIVE SERVICES, INC.
Lindsey Kimmons
Investigator

Global Watch List Coverage
• Australian Department of Foreign Affairs and Trade (DFAT) *Individual and Entity List including Reserve Bank List*
• Bureau of Industry & Security (BIS) Denied Persons List
• Bureau of Industry & Security (BIS) Entity List
• Bureau of Industry & Security (BIS) Unverified List
• Central Intelligence Agency (CIA) World Leaders
• DEA Atlanta Division
• DEA Boston Division
• DEA Caribbean Division
• DEA Chicago Division
• DEA Dallas Division
• DEA Denver Division
• DEA Detroit Division
• DEA El Paso Division
• DEA Houston Division
• DEA Los Angeles Division
• DEA Miami Division
• DEA New Jersey Division
• DEA New York Division
• DEA New Orleans Division
• DEA Philadelphia Division
• DEA Phoenix Division
• DEA San Diego Division
• DEA San Francisco Division
• DEA Seattle Division
• DEA St. Louis Division
• DEA Washington, DC Division
• Directorate of Defense Trade Controls (DDTC)- Debarred Parties European Union (EU)
• FBI Crimes Against Children List
• FBI Criminal Enterprise List
• FBI Cyber Crimes List
• FBI Domestic Terrorist List
• FBI Violent Murderers List
• FBI Violent Offenders List
• FBI 10 Most Wanted List
• FBI Hijack Suspects
• FBI Most Wanted
• FBI Most Wanted Terrorists

• FBI Seeking Information
• Federal Deposit Insurance Corporation (FDIC) Banks & US Credit Unions
• FinCEN Money Services Business
• FinCEN Section 311 Primary Money Laundering Concern (PMLC) List
• HM Treasury- Consolidated List of Financial Sanctions Targets *(Formerly named Bank of England Consolidated List)*
• HM Treasury's Investment Ban List *(Included in HM Treasury Consolidated List)*
• Hong Kong Monetary Authority List (UN Consolidated List)
• Interpol Most Wanted List
• Monetary Authority of Singapore; Investors Alert List (UN Consolidated List)
• OFAC Palestinian Legislative Council List (PLC) – NON-SDN entities
• OFAC Sanctions (Blocked Countries)
• OFAC Specially Designated Nationals (SDNs)
• Office of the Comptroller of the Currency- Sanctions and Enforcement Actions
• OSFI Consolidated List- Canada- Terrorism Financing List (Entities and Individuals)
• SAM.GOV list (replaced GSA EPLS)
• UK MP's and Lords, Canadian MP's
• UK Secretary of State Terrorist List; Home Office
• UN- United Nations CTC- UN Consolidated Sanctions
• US Immigration and Customs Enforcement; ICE Fugitives
• US Postal Service; US Postal Inspection Service Most Wanted
• US Secret Service Most Wanted
• US State Department Foreign Terrorist Organizations
• US State Department Terrorist Exclusion List
• US State Department WMD Non- Proliferation List

TEXAS Driver Record - 3 Year
Host Used: Online Bill Code:
Rec Type: STANDARD Reference:
License:
Name:
Address:
City, St:
Sex: Weight: Age:
Eyes: Height:
Hair:
DOB:
Iss Date:
Exp Date:
Year License First Issued: STATUS: VALID

Violations/Convictions Failures To Appear Accidents

TYPE VIOL CONV ACD AVD V/C DESCRIPTION C SPEED LOCATION/TICKET ACCD AT FAULT PT
VIOL 09/23/2017 10/12/2017 S93 SA01 SPEEDING N UNKNOWN
VIOL 01/16/2018 04/16/2018 S94 SA02 PRIMA FACIE SPEED

VIOLATION Y UNKNOWN
VIOL 11/24/2019 01/02/2020 M34 ME05 FOLLOWING TOO CLOSELY Y HOUSTON

Suspensions/Revocations

*** NO ACTIVITY ***

License and Permit Information

License: COMMERCIAL Issue: Expire: 03/22/2024 Status: VALID
Class: A COMBINE VEH > 26K W/TRAILER > 10K

CDL Medical Information

Self Certificate Type Issued Effective Expiration Downgraded Status
NON-EXCEPTED INTERSTATE 04/02/2019 04/02/2020 CERTIFIED
Medical Examiner Name Phone License State Specialty Registry Number
ROSA BELENA-BRUCE (713)674-1114 M1019 TX MEDICAL
DOCTOR 5193984672

Miscellaneous State Data

THIS TYPE OF RECORD WILL NOT REFLECT COMPLETION OF A DRIVING SAFETY COURSE.
THIS RECORD REFLECTS CONVICTIONS AND CRASH INVOLVEMENTS THAT ARE ALLOWED TO BE DISPLAYED BY
LAW.